

Registration & Refreshments

08:30 - 09:30

Chair's Opening Remarks

09:30 - 09:45

Climate risk: Meeting Expectations within the Converging Regulatory Framework

09:45 - 10:30

- How organisations are using climate data and disclosures to inform investment decisions
- Incorporating physical and transition risk into a bank's capital and prudential risk framework
- Understanding how climate shocks could impact markets and models
- Incorporating assured and reliable sources of data
- Creating, monitoring and delivering net zero strategies with substance
- Developing sustainable disclosures with robust assurance

Building a Compliant and Future-Proofed Data Governance Framework

10:30 - 11:15

- Ensuring data protection from evolving malicious and sophisticated attacks
 - Fighting the latest threats in cybersecurity and ransomware
- Mitigating the risks involved in adopting novel AI and ML technologies
- Ensuring protection when using cloud partners

BREAK & EXHIBITION

11:15 - 11:45

Risk Monitoring: Embedding Processes to Track, Solve and Flag Key Risks Across the Business

11:45 - 12:30

- Managing and tracking conduct risk KRIs and assessing risk exposures in real time
 - Outputting the information as meaningful MI
 - Acting on the outputs to improve company processes
- Horizon scanning: The major future threats that firms have identified and are focused on monitoring
- Ensuring your systems and processes meet the UK's Consumer Duty regulation and industry best practice standards
- Handling large volume cases such as complaints
- Building a risk monitoring process that meets regulations and provides genuine difference to the customer
- Operational resilience: Maintaining oversight over third parties
- Monitoring transactions and deriving actionable oversight information

Utilising Tools to Model and Prudential Regulatory Requirements

12:30 - 13:15

- Incorporating the latest advances in modelling
 - How firms are providing model oversight and assurance
- Meeting the regulation such as IFPR for funds and Basel considerations for banks
- Tools and techniques to maintain sufficient regulatory capital
- Outlining the growing audit expectations

LUNCH & EXHIBITION

13:15 - 14:30

Creating a Best-in-Class Identity Management Framework to Combat AML

14:30 - 15:15

- Mapping the data sources and methodologies currently used to meet AML regulation
- The depth of counterparty due diligence currently being undertaken
- Outlining the expectations around current KYC processes
- Developing processes and solutions to aid anti-fraud detection and screening

Meeting the Developing Regulatory Reporting Regulations

15:15 - 16:00

- Harnessing data and reporting tools to meet regulatory requirements
- Engaging with the wider business to gain the necessary data in sufficient quality
 - Incorporating data analytics
- Focusing on the major regulatory reporting obligations
- Delivering real time reporting
- Exceeding trade and transaction reporting requirements
- Inputting into and developing corporate reports using quality data

Exploring How Organisations are Exceeding the Growing Regulatory Expectations

16:00 - 16:45

- Upcoming regulatory changes that are focusing the minds of risk & compliance teams
- How CROs, Risk & Compliance Heads are allocating their time to overseeing compliance efforts
- How organisations are structuring their compliance efforts to match regulatory needs
- How capital is being allocated across the key areas of compliance focus
- The extent the need for resource is expected to grow
- Ensuring organisations have a robust data framework to monitor needs
- How organisations are monitoring in real time

Chair's Closing Remarks and Start of Drinks Reception

16:45 - 17:00

SCHEDULE

REGTECH PREVIOUS AGENDA - 06/12/2022

RegTech

Returning December 2024

London, UK

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16:00	16:00 - Exploring How Organisations are Exceeding the Growing Regulatory Expectations 16:45 - Chair's Closing Remarks and Start of Drinks Reception