

Registration and Networking

08:15 - 08:45

Chair's Opening Remarks

08:45 - 09:00

The Grey Zone" - how organised crime discovered high finance in Europe

09:00 - 09:30

In recent years there has been a surge in organised criminal activity in Europe, fuelled by vast profits being generated through the transatlantic cocaine trade. As criminals have become wealthier and more globalised they have also found increasingly sophisticated ways to launder money through the legitimate economy. This talk will explore the risks this new breed of ruthless criminal entrepreneur poses to financial companies and how they can be stopped.

Participants

Miles Johnson - Investigative Reporter, Financial Times

Conducting a Meaningful Risk Assessment – Differentiation of Risk Levels, Country and Industry Concerns

09:30 - 10:10

Participants

Katarina Cook - Head of Financial Crime, Brewin Dolphin

Shona Beevors - Global Head of Anti-Bribery & Corruption, Fidelity International

Rod Morris - Head of Financial Crime & MLRO, Al Rayan Bank

Claire Simm - Managing Director, Kroll

Networking & Refreshment Break

10:10 - 10:30

What does the future hold for CDD operational delivery?

10:30 - 11:00

The pandemic brought with it a wave of operational challenges across CDD programmes, from backlogs to remediation, staff and skills shortages and exacerbated historic problems generated by legacy technology and data sitting across disparate systems.

This talk will explore how successful CDD programmes are tackling these challenges head on by taking a data-led approach and using automation approach to drive efficiencies, turning policy into dynamic actionable rules, whilst also ensuring the customer journey is as pain free and digital as possible. With insights and anecdotes from Tania's operational delivery experience across 25 years in financial services.

Participants

Tania Gill - Head of UK Operations, Managed Services, KPMG

What should you do if you are a victim of a cyber payment fraud

11:00 - 11:30

- Types of cyber payment fraud
- Strategic considerations - when to take legal action, and when not to
- Tactical considerations – immediate steps to locate and freeze misappropriated funds
- Legal proceedings to recover assets
- International aspects
- Recovery against third parties:
- - The fraudster's bank
 - The victim's bank
 - Other third parties
 - AML considerations
- Civil and criminal processes – how to manage the two

Participants

Mona Vaswani - Partner, Milbank LLP

Cryptoassets – Evolving Regulatory Frameworks, Financial Crime concerns and practical solutions

11:30 - 12:10

Participants

Markus Beck - Head of Compliance, Bank Frick

Willem Wellinghoff - Group Chief Compliance and Risk Officer, UK MLRO, PMA Media Group

Making Financial Threat Intelligence a part of your Strategy – Key Challenges and Evolving Best Practice

12:10 - 12:50

Participants

Simon McFeely - Head of Risk and Compliance, Transfermate

Francisco Mainez - Head of Business Financial Crime Risk, Data & Analytics, HSBC

Lunch

12:50 - 13:50

Whistleblowing – Good Governance, Program Design and Monitoring Outcomes

13:50 - 14:30

Participants

Andy Noble - Head of Whistleblowing and Speak Up, NatWest Group

Spotlight on Maritime-associated risks – Sanctions and beyond

14:30 - 15:10

Participants

Austin John - Senior Product Manager, Lloyd's List Intelligence

Richard Dunmall - EMEA Head of Sanctions, Sumitomo Mitsui Banking Corporation Europe

Networking & Refreshment Break

15:10 - 15:30

KYC Processes – Automation, ongoing KYC and Data Accuracy

15:30 - 16:10

Participants

Ciaran Askin - Head of Financial Crime & MLRO, Invesco

Sarah-Rose Perry - Head of Compliance & MLRO, Singer Capital Markets

Daniel Argent - Head of Financial Crime & MLRO, GB Bank

ESG – Best practice concerning AML & ABC

16:10 - 16:50

Participants

Jean-Marc Guiteau - Chief Compliance Officer, Clearstream

SESSIONS

DAY 1 - 22/03/2022

AML & ABC Forum

22 - 23 March 2022
Leonardo Royal Hotel Tower Bridge
London, United Kingdom

Understanding how Financial Services can help tackle Environmental Crime

16:50 - 17:30

Participants

Lilya Gelemerova - Head of UK Financial Security,
Credit Agricole

Chair's Closing Remarks and Start of Drinks Reception

17:30 - 17:40

SCHEDULE

DAY 1 - 22/03/2022

AML & ABC Forum

22 - 23 March 2022
Leonardo Royal Hotel Tower Bridge
London, United Kingdom

TIME	
08:00	08:15 - Registration and Networking 08:45 - Chair's Opening Remarks
09:00	09:00 - The Grey Zone" - how organised crime discovered high finance in Europe 09:30 - Conducting a Meaningful Risk Assessment – Differentiation of Risk Levels, Country and Industry Concerns
10:00	10:10 - Networking & Refreshment Break 10:30 - What does the future hold for CDD operational delivery?
11:00	11:00 - What should you do if you are a victim of a cyber payment fraud 11:30 - Cryptoassets – Evolving Regulatory Frameworks, Financial Crime concerns and practical solutions
12:00	12:10 - Making Financial Threat Intelligence a part of your Strategy – Key Challenges and Evolving Best Practice 12:50 - Lunch
13:00	13:50 - Whistleblowing – Good Governance, Program Design and Monitoring Outcomes
14:00	14:30 - Spotlight on Maritime-associated risks – Sanctions and beyond
15:00	15:10 - Networking & Refreshment Break 15:30 - KYC Processes – Automation, ongoing KYC and Data Accuracy
16:00	16:10 - ESG – Best practice concerning AML & ABC 16:50 - Understanding how Financial Services can help tackle Environmental Crime
17:00	17:30 - Chair's Closing Remarks and Start of Drinks Reception

SESSIONS

DAY 2 - 23/03/2022

AML & ABC Forum

22 - 23 March 2022
Leonardo Royal Hotel Tower Bridge
London, United Kingdom

Registration and Networking

08:15 - 08:45

Chair's Opening Remarks

08:45 - 09:00

Participants

Simon Mansell - Head of CDD, Forensic Consulting, KPMG

European Commission Keynote

09:00 - 09:40

Beneficial Ownership – Control, Complex Structures and Investigations

09:40 - 10:20

Participants

Howard Cooper - Managing Director and Global Co-Head of the Financial Investigations Practice, Kroll

Zoë Newman - Regional Managing Director, EMEA and Global Co-Head of the Financial Investigations Practice, Kroll

David Lewis - Managing Director and Global Head of Anti-Money Laundering (AML) Advisory, Kroll

Networking & Refreshment Break

10:20 - 10:40

A View from HM Treasury

10:40 - 11:20

Heads of ABC – What Keeps you Awake?

11:20 - 12:00

Participants

Kenneth Gazzaway - Director, EMEA Regional Head of Anti-Bribery & Corruption Compliance, MUFG Bank

Ben Packer - Partner, Linklaters

David Marshall - Associate Director, UK Compliance, NIBC Bank NV, London

Lunch

12:00 - 13:00

Streamlining the CDD journey through data and automation transformation

13:00 - 13:40

Participants

Simon Mansell - Head of CDD, Forensic Consulting, KPMG

Post-Brexit Issues for Financial Crime Practitioners

13:40 - 14:20

Participants

Jonathan Stone - EMEA, Head of Financial Crime, Nomura

Sinead Goss - EMEA Head, AML, ABC and Sanctions Legal, Citigroup

Charlotte Lowry - MLRO & Compliance Director, Pleo

Mike Venn - Group Head of Risk & Compliance (MLRO), Modulr

Networking & Refreshment Break

14:20 - 14:40

US DOJ Update on FCPA

14:40 - 15:20

Participants

David Fuhr - Acting Principal Assistant Chief, Department of Justice, FCPA Unit

OECD Update

15:20 - 16:00

Participants

Patrick Moulette - Head of the Anti-Corruption Division, OECD

Post-Covid-19 Lessons for Financial Crime Practitioners – What will stay?

16:00 - 16:40

Chair's Closing Remarks and End of Conference

16:40 - 16:55

SCHEDULE

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12:00	12:00 - Lunch
13:00	13:00 - Streamlining the CDD journey through data and automation transformation 13:40 - Post-Brexit Issues for Financial Crime Practitioners
14:00	14:20 - Networking & Refreshment Break 14:40 - US DOJ Update on FCPA
15:00	15:20 - OECD Update
16:00	16:00 - Post-Covid-19 Lessons for Financial Crime Practitioners – What will stay? 16:40 - Chair's Closing Remarks and End of Conference