

SESSIONS

EVENT 1 - BEST PRACTICES FOR CHALLENGING TIMES - 30/03/2021

GAIM Ops Connect

March 30 / April 27 / May 25, 2021

EDT Timezone (UTC -4)

Virtual event series

1-2-1 meetings

8:45am - 9:30am

Best practices for challenging times

Chair's remarks

9:30am - 9:45am

Best practices for challenging times

Participants

Joann Arweiler - Principal, BDO

What teams learnt from Covid

9:45am - 10:30am

Best practices for challenging times

Participants

Katrina Paglia - General Counsel and Chief Compliance Officer, Capstone Investment Advisors

Larry Block - Managing Director, Counsel and Chief Compliance Officer, Island Capital Group LLC

Daniel Kochav - Chief Operating Officer, Tenor Capital Management

Moderator: Michael Fastert - Chief Operating Officer and Chief Legal Officer, TIG Advisors

Morning break

10:30am - 11:00am

Best practices for challenging times

Stories from industry leaders, spotlight on several leaders including these topics:

11:00am - 12:00pm

Best practices for challenging times

-Technology – making efficiencies and challenges throughout my career

Greg Ciaverelli, Partner and COO, PA Capital

-Managing during the pandemic, multiple stakeholder management

Matt Siano, Managing Director, General Counsel, Two Sigma

-Leading through difficult times

Brian Traficante, GC and CCO, York Capital

Participants

Greg Ciaverelli - Partner & Chief Operating Officer, PA Capital

Matthew Siano - Managing Director, General Counsel, Two Sigma

Brian Traficante - Managing Director, General Counsel, Chief Compliance Officer, York Capital

Moderator: William de Cordova - Editor-in-Chief, The Hedge Fund Law Report and The Private Equity Law Report

1-2-1 meetings

12:00pm - 1:15pm

Best practices for challenging times

Roundtable discussions

1:15pm - 2:00pm

Best practices for challenging times

- Crisis comms and ODD
- What is the new face of disaster recovery?
- Communicating effectively with your managers during the pandemic and beyond

Participants

Adam Cohen - Managing Director, Head of Operational Due Diligence, Agility

Rory Cohen - General Counsel, Varadero Capital, L.P.

Brynn Coursey Heegan - Managing Director, Operational Due Diligence, Lyxor Asset Management Inc.

1-2-1 meetings

2:00pm - 3:15pm

Best practices for challenging times

Masterclass

2:00pm - 3:00pm

Best practices for challenging times: Masterclass

- How to build a successful ODD programme
- How to build an integrated risk management programme
- Summary of key points and feedback to group

Participants

Mark Hannoush - Managing Director, Due Diligence & Advisory, Ontario Teachers' Pension Plan

Elena Boeva - U.S. Chief Operating Officer, Aflac Global Investments

Carlos Ferreira - Managing Director, Head of Investment Operations, PAAMCO Prisma

Marc Towers - Founder, Towers Fiduciary Pte. Ltd

Close of masterclass

3:00pm - 3:05pm

Best practices for challenging times: Masterclass

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9:00AM	9:30am - Chair's remarks 9:45am - What teams learnt from Covid	
10:00AM	10:30am - Morning break	
11:00AM	11:00am - Stories from industry leaders, spotlight on several leaders including these topics:	
12:00PM	12:00pm - 1-2-1 meetings	
1:00PM	1:15pm - Roundtable discussions	
2:00PM	2:00pm - 1-2-1 meetings	2:00pm - Masterclass
3:00PM		3:00pm - Close of masterclass

SESSIONS

EVENT 2 - REGULATION AND COMPLIANCE - 27/04/2021

GAIM Ops Connect

March 30 / April 27 / May 25, 2021

EDT Timezone (UTC -4)

Virtual event series

Power Yoga session

8:30am - 9:00am

Regulation and compliance

1-2-1 meetings

9:00am - 9:40am

Regulation and compliance

Chair's remarks

9:40am - 9:50am

Regulation and compliance

Participants

Ed Pearson - Partner, Cayman Islands, Walkers

Focus on the marketing rule

9:50am - 10:30am

Regulation and compliance

A panel covering the following topics:

- Implementing the marketing rule
- What are the requirements
- The way forward

Participants

Thoreau Bartmann - Senior Special Counsel, IARO, US SEC

Anna Povinelli - Managing Director, Compliance and Regulatory Consulting, Duff & Phelps

Larry Block - Managing Director, Counsel and Chief Compliance Officer, Island Capital Group LLC

Moderator: Ryan VanGrack - Managing Director and Senior Deputy GC, Citadel

Andy Genser - General Counsel, Viking Global Investors LP

Short break

10:30am - 10:45am

Regulation and compliance

The ramifications of the Cayman regulations

10:45am - 11:30am

Regulation and compliance

- Reviewing the last year and key developments
- Regulating securities and resources
- How the latest and new measures will impact the industry

Participants

Moderator: Lucy Frew - Partner, Walkers

Heather Smith - Head, Investments Supervision Division, Cayman Islands Monetary Authority (CIMA)

Sarah Wheeler - Head, AML/CFT Division, CIMA

EU(ropes) vs U.S. - comparative analysis of policy and regulatory developments

11:30am - 11:45am

Regulation and compliance

Participants

Jiří Król - Deputy CEO, Global Head of Government Affairs, The Alternative Investment Management Association Ltd

Focus on crypto and managing cryptocurrency fraud

11:55am - 12:40pm

Regulation and compliance

- What are the latest developments?
- Maintaining accurate reporting and monitoring the market
- What lies ahead for crypto

Participants

Jennifer McHugh - Senior Special Counsel, Disclosure Review and Accounting Office, Division of Investment Management, U.S. Securities and Exchange Commission

Michael O'Neill - Assistant Chief, Fraud Section, Criminal Division, United States Department of Justice

JonMarc Buffa - Assistant Chief, Office of Cooperative Enforcement, U.S. Commodity Futures Trading Commission

Moderator: William de Cordova - Editor-in-Chief, The Hedge Fund Law Report and The Private Equity Law Report

Andrew Sczygielski - Supervisory Special Agent, Virtual Currency and Evolving Threats Team, FBI

Discussions

12:55pm - 1:40pm

Regulation and compliance

Hosted by industry experts. Join COOs, CFOs, CCOs and ODD Heads to compare notes and ask questions on hot topics.

- Revisiting the Blaszcak insider trading case - what's the latest?
- Myth-busting crypto - compliance, security and more
- What compliance leaders and COOs need to know

Participants

Suzan Rose - Senior Advisor, Government and Regulatory Affairs, AIMA

Brett Munster - Founder and Managing Partner, Cognovio Capital

Katrina Paglia - General Counsel and Chief Compliance Officer, Capstone Investment Advisors

Larry Block - Managing Director, Counsel and Chief Compliance Officer, Island Capital Group LLC

Daniel Kochav - Chief Operating Officer, Tenor Capital Management

1-2-1 meetings

1:40pm - 2:40pm

Regulation and compliance

Close of event

2:40pm - 2:45pm

Regulation and compliance

SCHEDULE

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11:00AM	11:30am - EU(rope) vs U.S. - comparative analysis of policy and regulatory developments 11:55am - Focus on crypto and managing cryptocurrency fraud
12:00PM	12:55pm - Discussions
1:00PM	1:40pm - 1-2-1 meetings
2:00PM	2:40pm - Close of event

SESSIONS

EVENT 3 - FOCUS ON PRIVATE EQUITY DEVELOPMENTS - 25/05/2021

GAIM Ops Connect

March 30 / April 27 / May 25, 2021

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Virtual event series

Power Yoga session

8:00am - 8:30am

Focus on PE developments

Chair's remarks

9:15am - 9:30am

Focus on PE developments

Participants

Odette Samson - Partner | Assurance & Advisory Services, Deloitte & Touche

In conversation with Jocelyn - the road ahead

9:30am - 10:00am

Focus on PE developments

Participants

Moderator: Odette Samson - Partner | Assurance & Advisory Services, Deloitte & Touche

Jocelyn Cortez-Young - Founding Partner and CEO, Minerva Capital Group

Best practice for private funds

10:00am - 10:30am

Focus on PE developments

- What we're seeing in PE ODD
- What is the right governance structure for a PE fund
- PE and ODD - what can go wrong

Participants

Beste Portnoff - Head of Operational Due Diligence, Bessemer Trust

Leanne Golding - Director, HTC Fiduciary Services Limited

Moderator: Daniel Strachman - Co-Founder, IMDDA

Discussions

10:30am - 11:15am

Focus on PE developments

Roundtable topics are likely to focus on the following areas:

1. Launching a value-added SPAC
2. Update on investors' need for transparency and evolving market standards
3. ODD - perspectives from VCs (covering seed stage and later stage)
4. Best practice in operations for VCs
5. Valuing assets in significant stress scenarios
6. Focus on fund-investor relationship

Participants

Lorelei Graye - Founder and President, Adopting Data Standards Initiative

Christine Campney - Senior Manager, Investment Finance, Private Investments, Canada Pension Plan Investment Board (CPPIB)

Sarah LaRose - Manager, Private Investments Data, Global Investment Services, Cambridge Associates

Ivan Nikkhoo - Managing Partner, Navigate Ventures

Kurt Ramirez - Founding Partner, Nine Four Ventures

Sanjay Reddy - Co-founding Partner, Unlock Venture Partners

Priscilla Guevara - Head of Investor Relations, Science Inc.

Kristen Lalanas - U.S. Head of Investor Relations, U.S. Bank

Sylvia Cho - Principal, Transaction Services, Grant Thornton

Stories from the leaders. Hear from industry leaders on topics including:

11:15am - 12:15pm

Focus on PE developments

- Partnering successes and lessons learned
- Managing multiple stakeholders
- The power of brand-building through storytelling
- Risk management before and after the pandemic
- Alternative investments in Asia

Participants

Per Welinder - General Partner, Welinder Shi Capital

Susanne Clark - Senior Managing Director and GC, Centerbridge

Andy Mantel - Founder & CEO, Pacific Sun Advisors

Moderator: William de Cordova - Editor-in-Chief, The Hedge Fund Law Report and The Private Equity Law Report

1-2-1 meetings

12:15pm - 1:00pm

Focus on PE developments

Stories from the leaders. Hear from industry leaders on topics including:

1:00pm - 1:45pm

Focus on PE developments

-Talent and internal leadership

-Optimising ODD for an emerging manager during the pandemic

-Building successful relationships

Participants

Petra Griffith - Managing Director, Wedbush Ventures

Sean Madnani - Founder & CEO, Twist Capital

Zack Ellison - Founder, Managing General Partner and CIO, Applied Real Intelligence LLC

Moderator: Michael Patanella - Industry Managing Partner, Asset Management, Grant Thornton

ESG best practice for PE firms - what you need to know

1:45pm - 2:15pm

Focus on PE developments

Participants

Moderator: Angela Jhanji - Director, ESG & Sustainability Services, Grant Thornton

Sanaz Raczynski - Head of Sustainability, Kohlberg & Company

Nandini Hampole - Vice President of ESG & Responsible Investing, Bregal Investments

Stories from the leaders. Hear from industry leaders on topics including:

2:15pm - 3:00pm

Focus on PE developments

- Leadership
- Lessons learned from operational due diligence
- Managing portfolio companies and external partnerships

Participants

Matt Gibbons - Managing Director, Private Equity, Manhattan West

Kelly Perdew - Co-founder and General Partner, Moonshots Capital

Justin Benshoof - Principal, Caltius Equity Partners

Moderator: William de Cordova - Editor-in-Chief, The Hedge Fund Law Report and The Private Equity Law Report

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Close of event

3:00pm - 3:05pm

Focus on PE developments

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12:00PM	12:15pm - 1-2-1 meetings
1:00PM	1:00pm - Stories from the leaders. Hear from industry leaders on topics including: 1:45pm - ESG best practice for PE firms - what you need to know
2:00PM	2:15pm - Stories from the leaders. Hear from industry leaders on topics including:
3:00PM	3:00pm - Close of event