

SESSIONS

GAIM OPS WEST 2020: ODD & INVESTOR RELATIONS, PRIVATE EQUITY SUMMITS - 19/10/2020

GAIM Ops West

October 19-21, 2020
Attend at Monarch Beach Resort,
Dana Point
Or Join Online

Summit overview

8:10am - 8:30am

ODD and Investor Relations post COVID Summit

Closed Door Summit for Institutional Investors (end investors) only.

Free access for pension funds, endowments, foundations, sovereign wealth funds, single family offices. No access for GPs and service providers.

Contact Martina.DeGrassi@informa.com to apply.

(THIS IS NOT A SESSION, REGISTRATION BEGINS AT 8.30AM)

Summit overview

8:10am - 8:30am

Private Equity Ops & Compliance Summit

Welcome to the Private Equity Summit at GAIM Ops West 2019!

With a record attendance in 2018 from private equity firms and investors, GAIM Ops has established itself as the go to West Coast event for the PE community.

Additional booking required to attend to the pre-conference Summits, please see the [booking page](#) to add a Summit registration.

(THIS IS NOT A SESSION, REGISTRATION BEGINS AT 8.30AM)

Breakfast & registration open for pre-conference summits

8:30am - 9:00am

ODD and Investor Relations post COVID Summit

Breakfast & registration open for pre-conference summits

8:30am - 9:00am

Private Equity Ops & Compliance Summit

Chairperson's welcome - Summit Overview

9:00am - 9:10am

ODD and Investor Relations post COVID Summit

This is a closed door Summit - for institutional investors and single family offices only.

Chairperson's welcome - Summit Overview

9:00am - 9:10am

Private Equity Ops & Compliance Summit

Investor communications during COVID – what investors saw and asked for

9:10am - 9:50am

ODD and Investor Relations post COVID Summit

A review of fundraising and allocation for Private Equity in 2020

9:10am - 9:50am

Private Equity Ops & Compliance Summit

Fundraising during and post COVID

9:50am - 10:30am

ODD and Investor Relations post COVID Summit

Operations for Private Equity in COVID

9:50am - 10:30am

Private Equity Ops & Compliance Summit

Coffee & Refreshments

10:30am - 10:50am

ODD and Investor Relations post COVID Summit

Coffee & Refreshments

10:30am - 10:50am

Private Equity Ops & Compliance Summit

Liquidity and redemption lessons

10:50am - 11:30am

ODD and Investor Relations post COVID Summit

Portfolio companies – platform models, adding value, transparency

10:50am - 11:30am

Private Equity Ops & Compliance Summit

Update on the marketing and advertising rules

11:30am - 12:10pm

ODD and Investor Relations post COVID Summit

Subscription lines during the crisis

11:30am - 12:10pm

Private Equity Ops & Compliance Summit

Transparency and ODD – new expectations

12:10pm - 12:40pm

ODD and Investor Relations post COVID Summit

Distribution verification structures

12:10pm - 12:40pm

Private Equity Ops & Compliance Summit

End of closed door investor summit

12:40pm - 12:50pm

ODD and Investor Relations post COVID Summit

End of Private Equity summit

12:40pm - 12:50pm

Private Equity Ops & Compliance Summit

Lunch - Investors and Private Equity summit networking

12:50pm - 1:50pm

ODD and Investor Relations post COVID Summit

Networking lunch for attendees of Investor, Private Equity and Emerging Managers Summits

Lunch - Investors and Private Equity summit networking

12:50pm - 1:50pm

Private Equity Ops & Compliance Summit

Networking lunch for attendees of Investor, Private Equity and Emerging Managers Summits

Closed door Investor Summit

1:50pm - 3:00pm

ODD and Investor Relations post COVID Summit

Closed door session - institutional investors only (employees at endowments, foundations, sovereign wealth funds, pensions and single family offices).

Roundtables: Investors, Private Equity and Emerging Managers

1:50pm - 3:00pm

Private Equity Ops & Compliance Summit

Open to participants of the ODD and Private Equity Summits.

2020 roundtables:

1. **SEC focuses for Private Equity in 2020**
2. **ODD focused for Private Equity during COVID**
3. **ILPA templates update**
4. **ESG and Private Equity**
5. **Fund structuring - latest and greatest**

Roundtable discussions - 10 per table for an intimate group discussion lead by one of our expert speakers. Get into the details of Ops and Compliance topics and have your key questions answered.

**** All tables repeat two times (1.50 and 2.25). Tables run for 35 minutes. Choose any two topics below. First come, first served.****

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- 19/10/2020

GAIM Ops West

October 19-21, 2020

Attend at Monarch Beach Resort,

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**End of pre-conference Summits, Registration
Open for Main Conference**

3:00pm - 3:10pm

ODD and Investor Relations post COVID Summit

**End of pre-conference Summits, Registration
Open for Main Conference**

3:00pm - 3:10pm

Private Equity Ops & Compliance Summit

**Welcome coffee & main conference
registration**

3:10pm - 3:30pm

GAIM Ops West 2020

Afternoon Networking Activities

3:30pm - 5:00pm

GAIM Ops West 2020

Small group networking activities onsite and online.
Make the most of your time with peers and catch-up
socially.

Monday night Partner's Party - Welcome drinks

5:00pm - 6:30pm

SCHEDULE

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TIME	GAIM OPS WEST 2020	ODD AND INVESTOR RELATIONS POST COVID SUMMIT	PRIVATE EQUITY OPS & COMPLIANCE SUMMIT
8:00AM		<p>8:10am - Summit overview</p> <p>8:30am - Breakfast & registration open for pre-conference summits</p>	<p>8:10am - Summit overview</p> <p>8:30am - Breakfast & registration open for pre-conference summits</p>
9:00AM		<p>9:00am - Chairperson's welcome - Summit Overview</p> <p>9:10am - Investor communications during COVID – what investors saw and asked for</p> <p>9:50am - Fundraising during and post COVID</p>	<p>9:00am - Chairperson's welcome - Summit Overview</p> <p>9:10am - A review of fundraising and allocation for Private Equity in 2020</p> <p>9:50am - Operations for Private Equity in COVID</p>
10:00AM		<p>10:30am - Coffee & Refreshments</p> <p>10:50am - Liquidity and redemption lessons</p>	<p>10:30am - Coffee & Refreshments</p> <p>10:50am - Portfolio companies – platform models, adding value, transparency</p>
11:00AM		<p>11:30am - Update on the marketing and advertising rules</p>	<p>11:30am - Subscription lines during the crisis</p>
12:00PM		<p>12:10pm - Transparency and ODD – new expectations</p> <p>12:40pm - End of closed door investor summit</p> <p>12:50pm - Lunch - Investors and Private Equity summit networking</p>	<p>12:10pm - Distribution verification structures</p> <p>12:40pm - End of Private Equity summit</p> <p>12:50pm - Lunch - Investors and Private Equity summit networking</p>
1:00PM		<p>1:50pm - Closed door Investor Summit</p>	<p>1:50pm - Roundtables: Investors, Private Equity and Emerging Managers</p>
2:00PM			
3:00PM	<p>3:10pm - Welcome coffee & main conference registration</p> <p>3:30pm - Afternoon Networking Activities</p>	<p>3:00pm - End of pre-conference Summits, Registration Open for Main Conference</p>	<p>3:00pm - End of pre-conference Summits, Registration Open for Main Conference</p>
4:00PM			
5:00PM	<p>5:00pm - Monday night Partner's Party - Welcome drinks</p>	<p>5:00pm - Monday night Partner's Party - Welcome drinks</p>	<p>5:00pm - Monday night Partner's Party - Welcome drinks</p>

SESSIONS

GAIM OPS WEST 2020 - MAIN CONFERENCE, DAY 1 (THE NEW NORMAL) - 20/10/2020

GAIM Ops West

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Breakfast and registration - GAIM Ops West 2019

7:45am - 8:15am
GAIM Ops West 2020

Chairwoman's opening remarks - Day 2

8:15am - 8:30am
GAIM Ops West 2020

Asset trends – how did alternatives perform and respond to COVID?

8:30am - 9:20am
GAIM Ops West 2020

What happened – what is next? / Business Continuity and operations in review

9:20am - 10:00am
GAIM Ops West 2020

Regulators' Panel: Regulation post COVID

10:00am - 10:40am
GAIM Ops West 2020

Coffee & refreshments

10:40am - 11:10am
GAIM Ops West 2020

ODD during and after COVID

11:10am - 11:50am
GAIM Ops West 2020

Keynote – Political landscape/geo-politics

11:50am - 12:30pm
GAIM Ops West 2020

Lunch & speaker hosted lunch tables

12:30pm - 1:40pm
GAIM Ops West 2020

Networking lunch for all attendees on the veranda.

Speaker hosted lunchtables (all welcome, first-come-first-served - tables seat 10).

Start of stream sessions

1:40pm - 1:50pm
GAIM Ops West 2020

Choose from sessions in three streams below

Solution Room - Operations

1:50pm - 2:30pm
Track 1: Peer-peer discussions

Led by industry experts, this format will allow participants to raise an issue or challenge for the group to discuss and give advice on. Bring up the issues that matter to you and hear how your peers would approach it. Available for onsite and online participants.

Valuation during COVID

1:50pm - 2:30pm
Track 2: Lessons from COVID

Adapting to remote ODD - the new normal?

1:50pm - 2:30pm
Track 3: New normal, new opportunities

Room change

2:30pm - 2:35pm
Track 1: Peer-peer discussions

Room change

2:30pm - 2:35pm
Track 2: Lessons from COVID

Room change

2:30pm - 2:35pm
Track 3: New normal, new opportunities

Solution Room - Compliance

2:35pm - 3:15pm
Track 1: Peer-peer discussions

Led by industry experts, this format will allow participants to raise an issue or challenge for the group to discuss and give advice on. Bring up the issues that matter to you and hear how your peers would approach it. Available for onsite and online participants.

Outsourcing and prime brokerage in review

2:35pm - 3:15pm
Track 2: Lessons from COVID

Fund structures of the future

2:35pm - 3:15pm
Track 3: New normal, new opportunities

Quick coffee break and room change

3:15pm - 3:35pm
Track 1: Peer-peer discussions

Quick coffee break and room change

3:15pm - 3:35pm
Track 2: Lessons from COVID

Quick coffee break and room change

3:15pm - 3:35pm
Track 3: New normal, new opportunities

Speaker led roundtables

3:35pm - 5:00pm
Track 1: Peer-peer discussions

Roundtable discussions on Operations, ODD & Compliance. 10 per table for an intimate group discussion lead by one of our expert speakers. Designed for you to have a conversation on the topics that matter to you, and have your key questions answered. First come first served, all tables repeat 2 times:

2020 topics including:

1. MNPI in review: COVID and Blaszczyk
2. Documentation changes post COVID
3. Establishing an ESG fund
4. Big data for alternatives update
5. Cyber security – recent lessons & actions
6. Marketing rules case studies
7. Co-investing
8. Private credit review

Rotation 1: 3.35PM | Table Switch: 4.15PM | **Rotation 2: 4.20PM** | Concludes: 5.00PM

Updating business continuity and disaster recovery plans post COVID

3:35pm - 4:15pm
Track 2: Lessons from COVID

Updating risk frameworks and processes post COVID

3:35pm - 4:15pm
Track 3: New normal, new opportunities

Room change

4:15pm - 4:20pm
Track 2: Lessons from COVID

Room change

4:15pm - 4:20pm
Track 3: New normal, new opportunities

Counterparty risk and NAV triggers

4:20pm - 5:00pm
Track 2: Lessons from COVID

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GAIM OPS WEST 2020 - MAIN CONFERENCE, DAY 1 (THE NEW NORMAL) - 20/10/2020

GAIM Ops West

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Retail clients - a new opportunity for alternatives?

4:20pm - 5:00pm

Track 3: New normal, new opportunities

End of Stream

5:00pm - 5:05pm

Track 1: Peer-peer discussions

End of Stream

5:00pm - 5:05pm

Track 2: Lessons from COVID

End of Stream

5:00pm - 5:05pm

Track 3: New normal, new opportunities

Drinks reception

5:05pm - 6:35pm

GAIM Ops West 2020

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GAIM OPS WEST 2020 - MAIN CONFERENCE, DAY 1 (THE NEW NORMAL) - 20/10/2020

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TIME	GAIM OPS WEST 2020	TRACK 1: PEER-PEER DISCUSSIONS	TRACK 2: LESSONS FROM COVID	TRACK 3: NEW NORMAL, NEW OPPORTUNITIES
7:00AM	7:45am - Breakfast and registration - GAIM Ops West 2019			
8:00AM	8:15am - Chairwoman's opening remarks - Day 2 8:30am - Asset trends – how did alternatives perform and respond to COVID?			
9:00AM	9:20am - What happened – what is next? / Business Continuity and operations in review			
10:00AM	10:00am - Regulators' Panel: Regulation post COVID 10:40am - Coffee & refreshments			
11:00AM	11:10am - ODD during and after COVID 11:50am - Keynote – Political landscape/geopolitics			
12:00PM	12:30pm - Lunch & speaker hosted lunch tables			
1:00PM	1:40pm - Start of stream sessions	1:50pm - Solution Room - Operations	1:50pm - Valuation during COVID	1:50pm - Adapting to remote ODD - the new normal?
2:00PM		2:30pm - Room change 2:35pm - Solution Room - Compliance	2:30pm - Room change 2:35pm - Outsourcing and prime brokerage in review	2:30pm - Room change 2:35pm - Fund structures of the future
3:00PM		3:15pm - Quick coffee break and room change 3:35pm - Speaker led roundtables	3:15pm - Quick coffee break and room change 3:35pm - Updating business continuity and disaster recovery plans post COVID	3:15pm - Quick coffee break and room change 3:35pm - Updating risk frameworks and processes post COVID

SCHEDULE

GAIM OPS WEST 2020 - MAIN CONFERENCE, DAY 1 (THE NEW NORMAL) - 20/10/2020

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TIME	GAIM OPS WEST 2020	TRACK 1: PEER-PEER DISCUSSIONS	TRACK 2: LESSONS FROM COVID	TRACK 3: NEW NORMAL, NEW OPPORTUNITIES
4:00PM			4:15pm - Room change 4:20pm - Counterparty risk and NAV triggers	4:15pm - Room change 4:20pm - Retail clients - a new opportunity for alternatives?
5:00PM	5:05pm - Drinks reception	5:00pm - End of Stream	5:00pm - End of Stream	5:00pm - End of Stream

SESSIONS

GAIM OPS WEST 2020 - MAIN CONFERENCE, DAY 2 (THE FUTURE OF WORK) -
21/10/2020

GAIM Ops West

October 19-21, 2020

Attend at Monarch Beach Resort, Dana
Point

Or Join Online

Breakfast & networking

8:00am - 8:30am
GAIM Ops West 2020

Chairman's opening remarks - Day 3

8:30am - 8:40am
GAIM Ops West 2020

Human capital in review – what firms did and are doing post COVID

8:40am - 9:20am
GAIM Ops West 2020

Keynote – future of work / managing through extreme situations

9:20am - 10:00am
GAIM Ops West 2020

Case studies of AI and automation working in COVID

10:00am - 10:40am
GAIM Ops West 2020

Coffee & Refreshments

10:40am - 10:50am
GAIM Ops West 2020

Start of Stream Sessions

10:50am - 11:00am
GAIM Ops West 2020

Choose topics between three streams:

- Finance & Valuation
 - Effective ODD
 - Operational Challenges
-

Machine learning for the middle and back office

11:00am - 11:40am
Track 1: Technology for alternatives

Tips for facilitating remote working

11:00am - 11:40am
Track 2: Human capital

Room change

11:40am - 11:45am
Track 1: Technology for alternatives

Room change

11:40am - 11:45am
Track 2: Human capital

Tools for Operational Due Diligence (ODD)

11:45am - 12:25pm
Track 1: Technology for alternatives

Staffing for ops and compliance teams in the new normal

11:45am - 12:25pm
Track 2: Human capital

End of GAIM Ops West 2020

12:25pm - 12:40pm
GAIM Ops West 2020

Grab-n-go buffet lunch for your travels!

12:40pm - 12:50pm
GAIM Ops West 2020

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GAIM OPS WEST 2020 - MAIN CONFERENCE, DAY 2 (THE FUTURE OF WORK) -
21/10/2020

GAIM Ops West

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TIME	GAIM OPS WEST 2020	TRACK 1: TECHNOLOGY FOR ALTERNATIVES	TRACK 2: HUMAN CAPITAL
8:00AM	8:00am - Breakfast & networking 8:30am - Chairman's opening remarks - Day 3 8:40am - Human capital in review – what firms did and are doing post COVID		
9:00AM	9:20am - Keynote – future of work / managing through extreme situations		
10:00AM	10:00am - Case studies of AI and automation working in COVID 10:40am - Coffee & Refreshments 10:50am - Start of Stream Sessions		
11:00AM		11:00am - Machine learning for the middle and back office 11:40am - Room change 11:45am - Tools for Operational Due Diligence (ODD)	11:00am - Tips for facilitating remote working 11:40am - Room change 11:45am - Staffing for ops and compliance teams in the new normal
12:00PM	12:25pm - End of GAIM Ops West 2020 12:40pm - Grab-n-go buffet lunch for your travels!		