

SESSIONS

INSTITUTIONAL INVESTOR SUMMIT (INVITE ONLY) *PHYSICAL ATTENDEES ONLY* - 05/12/2021

GAIM Ops
West

December 5 - 7, 2021
Monarch Beach Resort
Dana Point, CA

Institutional Investor Summit registration opens **Please note the summit is only available for physical attendees**

13:00 - 14:00

Institutional Investor Summit

Opening remarks

14:00 - 14:10

Institutional Investor Summit

Working with third party vendors for success

14:10 - 14:40

Institutional Investor Summit

- The post-pandemic world: the new working environment
- Streamlining vendor relationships

Participants

Chris Matke - Senior Director, Operations and Administration, UNC Management Company

ODD best practice post pandemic

14:40 - 15:30

Institutional Investor Summit

Participants

Moderator: Frank Perez, Makena Capital Management

Joshua Barlow - Managing Director, Valhalla Fiduciary, LLC

Justin Holm - Director of Operational Due Diligence, UTIMCO

Brynn Coursey Heegan - Managing Director, Operational Due Diligence, Lyxor Asset Management Inc.

Mitchell Clayton - Director, The Rohatyn Group

Networking Break – Refreshments and Coffee

15:30 - 16:00

Institutional Investor Summit

ILPA's Model LPA – new resources for the PE industry

16:00 - 16:45

Institutional Investor Summit

Participants

Moderator: Chris Hayes - Senior Policy Counsel, Institutional Limited Partners Association (ILPA)

Edward Klees - Partner, Hirschler

Focus on ESG – investors and ESG fund transparency

16:45 - 17:15

Institutional Investor Summit

Participants

David Warsoff - Executive Director, J.P. Morgan Alternative Asset Management

Welcome Reception - all attendees

17:15 - 18:45

All attendees are kindly invited to attend our special Welcome Reception. The Welcome Reception provides an excellent opportunity to network, meet old friends and colleagues, as well as meet new people before the conference program begins the next morning.

SCHEDULE

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TIME	INSTITUTIONAL INVESTOR SUMMIT
13:00	13:00 - Institutional Investor Summit registration opens **Please note the summit is only available for physical attendees**
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15:00	15:30 - Networking Break – Refreshments and Coffee
16:00	16:00 - ILPA's Model LPA – new resources for the PE industry 16:45 - Focus on ESG – investors and ESG fund transparency
17:00	17:15 - Welcome Reception - all attendees

Opening remarks

09:00 - 09:10

Main Conference Day One - General Session

The alternatives landscape – reviewing 2021

09:10 - 09:40

Main Conference Day One - General Session

Hear quickfire presentations on the state of the alternatives industry in 2021: 1) allocation, 2) ESG, 3) crypto, 4) compliance trends

Participants

Larry Block - MD, CCO, Island Capital Group LLC

Amanda Pullinger - Chief Executive Officer, 100 Women in Finance

Jeffrey Blockinger - Chief Legal Officer, CrossTower

Romulad Beneche - Director, AIMA

Re-imagining operations – a COO panel

09:40 - 10:25

Main Conference Day One - General Session

- Cybersecurity risk tools, information reporting – the transformational role of the COO
- Reviewing progress of cloud journeys
- Implementing more flexible and scalable operations and technology

Participants

Benjamin Murray - Chief Operating Officer, Cypress Creek Partners

David Poulten - Partner, Inceptiv

The future of the manager operating model – what does it look like?

10:25 - 10:55

Main Conference Day One - General Session

Participants

Ryan Teal - Partner, Head of ODD Americas, Albourne Partners

Matthew Siano - Managing Director, General Counsel, Two Sigma

Morning break

10:55 - 11:25

Main Conference Day One - General Session

Talent management - meeting the demand for legal and compliance personnel

11:25 - 12:00

Main Conference Day One - General Session

Participants

Moderator: David Claypoole - Founder & President, Claypoole Executive Search

Samantha Fasone - Human Resources Manager, Marshall Wace North America L.P.

Jennifer Pearson - Head of Human Resources and Employment Counsel, Deerfield Management

Leading in the new world of work

12:00 - 12:40

Main Conference Day One - General Session

Participants

Mallory Spigel - Managing Director, Admired Leadership

Networking lunch and Roundtable discussions

12:40 - 14:00

Main Conference Day One - General Session

Choose a discussion. Topics are likely to include:

- 1) Managing risk when outsourcing compliance
- 2) Alternatives for retail investors – an opportunity or compliance burden?
- 3) Elevating the data you have – data capture and handling complexity of multiple portfolios
- 4) Building talent from the inside - spotlight on the CCO - David Bradley and Justine Leung
- 5) Best practice in ODD for crypto funds
- 6) The evolution of the family office - Brian Guzman
- 7) How LPs can overcome operational challenges and business constraints when investing in diverse and emerging fund managers - Giselle Melo

Participants

David Bradley - Chief Operating Officer & CCO, Hawk Ridge Capital Management

Justine Leung - Deputy CCO & General Counsel, Hawk Ridge Capital Management

Giselle Melo - Founder and General Partner, Matr Ventures

Brian Guzman - Founder & Partner, Guzman Advisory Partners, LLP

Troubleshooting operational challenges that emerging managers face

14:00 - 14:30

Emerging Manager Stream

Participants

Moderator: David Bradley - Chief Operating Officer & CCO, Hawk Ridge Capital Management

Jennifer Bonacci - Head of Operations and Compliance, Evince Asset Management

Luke Cherrington - Founder & GP, Latitude Ventures

Claire Chang - Founder and Managing Director, Ignite XL Ventures

Best practice for PE Ops pre and post COVID

14:00 - 14:30

Private Equity Ops & Compliance Stream

- LP ODD and GP ODD
- Business continuity, fundraising and more

Participants

Matt Jones - CCO and Director of Finance, K1

Nick Lotysz - Director of Operational Due Diligence, Vivaldi Capital Management, LLC

Chair: Jeremy Broker - Director, Private Equity, Moss Adams

The digital world, from cybersecurity to crypto: perils and opportunities

14:00 - 14:30

Technology Stream

How firms are adapting monitoring processes and how they are managing threats – redefining best practice

Best practice: operational due diligence

14:30 - 15:00

Emerging Manager Stream

Participants

Moderator: Eva Yazhari - General Partner, Beyond Capital Ventures

Gale Wilkinson - Founder & Managing Partner, Vitalize

Katelyn Donnelly - Founder and General Partner, Avalanche VC

Mitchell Clayton - Director, The Rohatyn Group

What's coming down the pipe? SEC focus on Private Equity

14:30 - 15:00

Private Equity Ops & Compliance Stream

Participants

Chris Montclare - CFO, Fisher Lynch

Managing cyber risks and cyber threats hand in hand

14:30 - 15:00
Technology Stream

Participants

Robert Herin - Chief Information Officer, Canyon Partners LLC

Networking Break - Coffee & Refreshments

15:00 - 15:30
Emerging Manager Stream

Networking Break - Coffee & Refreshments

15:00 - 15:30
Private Equity Ops & Compliance Stream

Networking Break - Coffee & Refreshments

15:00 - 15:30
Technology Stream

Maximizing opportunities for underestimated founders

15:30 - 16:00
Emerging Manager Stream

Participants

Shila Nieves Burney - Founder and Managing Partner, Zane Venture Fund

Rachel Ten Brink - General Partner and co-Founder, Red Bike Capital

Valuations post-COVID - efficiencies and opportunities

15:30 - 16:00
Private Equity Ops & Compliance Stream

Participants

Eric Lazear - Chief Operating Officer, Adit Ventures, LLC

What is the winning business model? Beyond efficiencies in technology

15:30 - 16:00
Technology Stream

Participants

Greg Ciaverelli - Partner & Chief Operating Officer, PA Capital

Emily Agnew - Senior Vice President / Manager of Operational Business Risk, Meketa Group

Best in class emerging managers - the story of our success

16:00 - 17:00
Emerging Manager Stream

Participants

Moderator: David Bradley - Chief Operating Officer & CCO, Hawk Ridge Capital Management

Christopher Lutton - Co-Founder & CEO, HonTe Advisors, LLC

Sylvia Kim - General Partner, CerraCap Cares

Managing conflicts of interest

16:00 - 16:30
Private Equity Ops & Compliance Stream

Participants

Moderator: Luke Sedler - Director, BlackRock

Brendan Kalb - General Counsel, ExodusPoint Capital Management LP

Part 1 - Less about technology, more about the process?

16:00 - 16:30
Technology Stream

This session will be ½ showcase and ½ open Q&A with the brightest technology providers in this space.

The rise of independent sponsors

16:30 - 17:00
Private Equity Ops & Compliance Stream

- Exploring the impact on operations
 - What's next for the fundless world?
-

Part 2 - Open Q&A

16:30 - 17:00
Technology Stream

Update on Project Black and Spark - the latest emerging manager initiatives

17:00 - 17:30
Emerging Manager Stream

Best practice in ODD governance

17:00 - 17:30
Private Equity Ops & Compliance Stream

Participants

Moderator: Daniel Strachman - Co-Founder, IMDDA

Nicole Ford - Operational Due Diligence Consultant, RVK Capital

The use of technology to help centralise data points to develop a risk management program

17:00 - 17:30
Technology Stream

GAIM Ops West Party - Networking drinks reception

17:30 - 18:30
Evening Party

SCHEDULE

MAIN CONFERENCE - GENERAL SESSION AND KICK OFF STREAMS - 06/12/2021

GAIM Ops West

December 5 - 7, 2021
Monarch Beach Resort
Dana Point, CA

TIME	EMERGING MANAGER STREAM	EVENING PARTY	MAIN CONFERENCE DAY ONE - GENERAL SESSION	PRIVATE EQUITY OPS & COMPLIANCE STREAM	TECHNOLOGY STREAM
09:00			<p>09:00 - Opening remarks</p> <p>09:10 - The alternatives landscape – reviewing 2021</p> <p>09:40 - Re-imagining operations – a COO panel</p>		
10:00			<p>10:25 - The future of the manager operating model – what does it look like?</p> <p>10:55 - Morning break</p>		
11:00			<p>11:25 - Talent management - meeting the demand for legal and compliance personnel</p>		
12:00			<p>12:00 - Leading in the new world of work</p> <p>12:40 - Networking lunch and Round-table discussions</p>		
13:00					
14:00	<p>14:00 - Troubleshooting operational challenges that emerging managers face</p> <p>14:30 - Best practice: operational due diligence</p>			<p>14:00 - Best practice for PE Ops pre and post COVID</p> <p>14:30 - What's coming down the pipe? SEC focus on Private Equity</p>	<p>14:00 - The digital world, from cybersecurity to crypto: perils and opportunities</p> <p>14:30 - Managing cyber risks and cyber threats hand in hand</p>

SCHEDULE

MAIN CONFERENCE - GENERAL SESSION AND KICK OFF STREAMS - 06/12/2021

GAIM Ops West

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TIME	EMERGING MANAGER STREAM	EVENING PARTY	MAIN CONFERENCE DAY ONE - GENERAL SESSION	PRIVATE EQUITY OPS & COMPLIANCE STREAM	TECHNOLOGY STREAM
15:00	<p>15:00 - Networking Break - Coffee & Refreshments</p> <p>15:30 - Maximizing opportunities for underestimated founders</p>			<p>15:00 - Networking Break - Coffee & Refreshments</p> <p>15:30 - Valuations post-COVID – efficiencies and opportunities</p>	<p>15:00 - Networking Break – Coffee & Refreshments</p> <p>15:30 - What is the winning business model? Beyond efficiencies in technology</p>
16:00	<p>16:00 - Best in class emerging managers – the story of our success</p>			<p>16:00 - Managing conflicts of interest</p> <p>16:30 - The rise of independent sponsors</p>	<p>16:00 - Part 1 - Less about technology, more about the process?</p> <p>16:30 - Part 2 - Open Q&A</p>
17:00	<p>17:00 - Update on Project Black and Spark – the latest emerging manager initiatives</p>	<p>17:30 - GAIM Ops West Party - Networking drinks reception</p>		<p>17:00 - Best practice in ODD governance</p>	<p>17:00 - The use of technology to help centralise data points to develop a risk management program</p>

SESSIONS

MAIN CONFERENCE DAY 2 (TECH AND THE FUTURE FOR ALTERNATIVES) - 07/12/2021

GAIM Ops West

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Breakfast

07:30 - 08:15

Main Conference Day Two - General Session

Welcome remarks

08:15 - 08:30

Main Conference Day Two - General Session

Legitimizing crypto – industry thoughts

08:30 - 09:20

Main Conference Day Two - General Session

Participants

Michelle Bond - CEO, Association for Digital Asset Markets (ADAM)

Jeffrey Blockinger - Chief Legal Officer, CrossTower

Benjamin Melnicki - Chief Compliance Officer, Grayscale Investments

Have we reached regulation 2.0 on crypto?

09:20 - 09:50

Main Conference Day Two - General Session

- Ways to ensure compliance professionals have the right processes and follow correct policies
- Individual liability from a criminal and regulatory standpoint
- Best procedures to prevent hacking

Participants

Moderator: Suzan Rose - Senior Adviser, Government and Regulatory Affairs, ALMA

Andrew Sczygielski - Supervisory Special Agent, Virtual Currency and Evolving Threats Team, FBI

JonMarc Buffa - Senior Trial Attorney, Office of Cooperative Enforcement, U.S. Commodity Futures Trading Commission

Michael O'Neill - Assistant Chief, Fraud Section, Criminal Division, United States Department of Justice

Stories from the leaders

09:50 - 10:40

Main Conference Day Two - General Session

Join four leaders as they share lessons learned over their careers and ask your questions.

Participants

Helaine Dryden - General Counsel and Chief Compliance Officer, Episteme Capital

Moderator: David Claypoole - Founder & President, Claypoole Executive Search

Per Welinder - General Partner, Welinder Shi Capital

Sean Madnani - Founder & CEO, Twist Capital

Serge Todorovich - Senior Vice President, General Counsel and Chief Compliance Officer, Shenkman Capital Management, Inc

Julie Siegel - MD, Chief Administrative Officer, and Deputy Chief Legal Officer, Sculptor Capital Management

Coffee break

10:40 - 11:10

Main Conference Day Two - General Session

Cyber – real world operations and lessons learned

11:10 - 11:40

Main Conference Day Two - General Session

Participants

Emily Locher - General Counsel and Chief Compliance Officer, Summit Rock Advisors

Moderator: Alixandra Smith - Chief of Business and Securities Fraud, U.S. Department of Justice

Keynote - Creating iconic advantage: don't chase the new, innovate the old

11:40 - 12:30

Main Conference Day Two - General Session

Participants

Soon Yu - Best-Selling Author, Iconic Advantage, former Global Vice President of Design, VF Corporation

Lunch and speaker hosted lunch tables

12:30 - 13:50

Main Conference Day Two - General Session

- 1) Building compliance culture post pandemic
- 2) CFTC view on cryptocurrency fraud, transparency with investors and market supervision
- 3) Accounting crackdown and the SPACs' frenzy
- 4) Practice versus theory – tangible developments in automation
- 5) Behind the scenes: operating sustainable funds effectively - Barbara Bickham
- 6) Volatility in prime brokerage – the challenges of 2021 and what comes next - David Geffen
- 7) Risk management for private equity funds – reviewing compliance controls

Participants

David Geffen - President, Geffen Advisors

Barbara Bickham - Founder & Managing Director, Women's Innovation Fund Accelerator

Beyond ODD, fast forward Operational Risk Management (ORM)

13:50 - 14:30

Data and Technology Stream

Value-added PB relationships

13:50 - 14:35

Operations Post Pandemic Stream

Participants

Moderator: David Geffen - President, Geffen Advisors

SEC 2021 – Examining the latest priorities and exam questions

13:50 - 14:30

Regulation and Compliance Stream

Participants

Courtney Lewis - Chief Compliance Officer and General Counsel, Marshall Wace North America, LP.

Dabney O'Riordan - Co-Chief of the Asset Management Unit, Division of Enforcement, U.S. Securities and Exchange Commission

How you get comfortable with big data

14:30 - 15:15

Data and Technology Stream

Participants

Michael Barry - General Counsel and Chief Compliance Officer, Samlyn Capital

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Growth in real estate PE – compliance challenges and beyond

14:30 - 15:15

Regulation and Compliance Stream

Participants

Moderator: William de Cordova - Editor-in-Chief, The Hedge Fund Law Report

Legal liabilities and governance post pandemic

14:35 - 15:15

Operations Post Pandemic Stream

Participants

Chris Mendez - General Counsel and Chief Compliance Officer, Commonwealth Asset Management LLC

Ginger Norman - Deputy General Counsel, Canyon Partners LLC

Coffee break

15:15 - 15:45

Data and Technology Stream

Coffee break

15:15 - 15:45

Operations Post Pandemic Stream

Coffee break

15:15 - 15:45

Regulation and Compliance Stream

IT infrastructure – spotlight on control, security and resilience

15:45 - 16:20

Data and Technology Stream

- Overcoming challenges in working with third party providers and balancing data ownership
 - How do you leverage the technology stack? The tightrope between internal and external systems
 - Tech versus manual approaches – what's best
 - How you source and secure the right infrastructure for your firm
-

Updating risk frameworks and processes post COVID – what sticks and what to omit

15:45 - 16:20

Operations Post Pandemic Stream

Seize the opportunity in private credit

15:45 - 16:20

Regulation and Compliance Stream

Participants

Moderator: Rory Cohen - General Counsel, Varadero Capital, L.P.

Chris Mendez - General Counsel and Chief Compliance Officer, Commonwealth Asset Management LLC

Three tech trends reshaping valuations

16:20 - 17:00

Data and Technology Stream

What to expect from 2022 – scrutiny on fund operations

16:20 - 17:00

Operations Post Pandemic Stream

Private fund ODD

16:20 - 17:00

Regulation and Compliance Stream

Comparing private equity and private credit ODD, the key items to look for and how they must differ.

Participants

Adam Cohen - Managing Director, Head of ODD, Agility

Mei-Li da Silva Vint - Chief Compliance Officer, Brevet Capital

End of conference

17:00 - 17:05

Data and Technology Stream

End of conference

17:00 - 17:05

Operations Post Pandemic Stream

End of conference

17:00 - 17:05

Regulation and Compliance Stream

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07:00		07:30 - Breakfast		
08:00		08:15 - Welcome remarks 08:30 - Legitimizing crypto – industry thoughts		
09:00		09:20 - Have we reached regulation 2.0 on crypto? 09:50 - Stories from the leaders		
10:00		10:40 - Coffee break		
11:00		11:10 - Cyber – real world operations and lessons learned 11:40 - Keynote - Creating iconic advantage: don't chase the new, innovate the old		
12:00		12:30 - Lunch and speaker hosted lunch tables		
13:00	13:50 - Beyond ODD, fast forward Operational Risk Management (ORM)		13:50 - Value-added PB relationships	13:50 - SEC 2021 – Examining the latest priorities and exam questions
14:00	14:30 - How you get comfortable with big data		14:35 - Legal liabilities and governance post pandemic	14:30 - Growth in real estate PE – compliance challenges and beyond
15:00	15:15 - Coffee break 15:45 - IT infrastructure – spotlight on control, security and resilience		15:15 - Coffee break 15:45 - Updating risk frameworks and processes post COVID – what sticks and what to omit	15:15 - Coffee break 15:45 - Seize the opportunity in private credit
16:00	16:20 - Three tech trends reshaping valuations		16:20 - What to expect from 2022 – scrutiny on fund operations	16:20 - Private fund ODD

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17:00	17:00 - End of conference		17:00 - End of conference	17:00 - End of conference