

SESSIONS

WELCOME RECEPTION - 16/10/2022

GAIM Ops West

October 17 - 19, 2022

Monarch Beach Resort

Dana Point, CA

Welcome reception for all - Drinks reception for all conference registered attendees

17:30 - 18:30

Location: Botanic Gardens

TIME

17:00

17:30 - Welcome reception for all - Drinks reception for all conference registered attendees

Breakfast briefing

07:30 - 08:00

Pre-event summits | Morning

Registration and breakfast

08:00 - 09:00

Pre-event summits | Morning

Opening remarks

09:00 - 09:10

Institutional Investor Summit - invite only

Opening remarks

09:00 - 09:10

Crypto and Digital Assets Summit

Opening remarks

09:00 - 09:10

ESG Summit

Making sense of the new era: From the age of globalization to the age of insecurity

09:10 - 10:00

Institutional Investor Summit - invite only

Participants

John Hulsman - Geopolitical Expert & Life Member , U.S. Council On Foreign Relations

Lowdown on the value of crypto and digital assets

09:10 - 09:50

Crypto and Digital Assets Summit

- Defining the market
- Exploring the dynamics
- Takeaways from Luna
- How to analyse crypto and digital assets
- Basis for valuing digital assets

The intersection of ESG and blockchain

09:10 - 09:50

ESG Summit

- Examining the demand of ESG metrics and market dynamics

Participants

Jocelyn Cortez-Young - Founding Partner and CEO , Minerva Capital Group

Manager scenario in action

09:50 - 10:30

Crypto and Digital Assets Summit

- How to use crypto effectively
- Infrastructure required
- How to get started on the operations side
- Trading crypto

What is the ESG criteria allocators are looking at?

09:50 - 10:30

ESG Summit

- How do managers convey everything they're doing effectively
- How do you differentiate yourselves on the criteria?
- How to communicate the criteria

Participants

Andrew Mittendorf - CEO , Edendale Capital

The need for better data and transparency – an update

10:00 - 10:40

Institutional Investor Summit - invite only

How to tell if a manager is good or riding the wave of recent success

Participants

Lorelei Graye - President , PCDS

Tom Walker - Board Member , PCDS

Networking Break – Refreshments and Coffee

10:30 - 11:00

Crypto and Digital Assets Summit

Networking Break – Refreshments and Coffee

10:30 - 11:00

ESG Summit

Networking Break – Refreshments and Coffee

10:40 - 11:10

Institutional Investor Summit - invite only

Meeting investors' expectations on crypto and digital assets

11:00 - 11:40

Crypto and Digital Assets Summit

- Regulatory guidance and oversight for digital assets
- What is on the investor's wish list
- Navigating the tax implications of digital assets

Participants

Joon Kim - General Counsel and a Board Member , Mina Foundation

SESSIONS

DAY 1 - 17/10/2022

GAIM Ops West

October 17 - 19, 2022

Monarch Beach Resort

Dana Point, CA

Managing ESG risks - new approaches

11:00 - 11:40

ESG Summit

- Shareholder litigation risks from ESG and how to mitigate them
- Recent key trends post pandemic – fiduciary responsibilities and beyond
- What's coming down the pipe in 2023

Participants

Anna Specter - Managing Director, Chief Compliance Officer , CVC Credit Partners

Jeff Lubitz - Managing Director , ISS Securities Class Action Services

SEC developments – where do investors stand?

11:10 - 11:50

Institutional Investor Summit - invite only

- Latest SEC update
- Framework fatigue – from ODD to manager metrics – what is the way ahead
- Investor choice and emerging managers

Participants

Ashley Roche - COO, GC , MEMCO

Justin Holm - Director of Operational Due Diligence , UTIMCO

Heath Weisberg - General Counsel , CAM Capital

Focus on principles for ODD practitioners when managing digital assets and crypto

11:40 - 12:15

Crypto and Digital Assets Summit

- Key areas of ODD for crypto assets, including custody, trade processes, valuation and asset verification, conflicts and regulatory risk
- Limiting versus maximizing exposure to crypto funds

Participants

Beste Portnoff - Head of Operational Due Diligence , Bessemer Trust

Rob Tello - Senior Director, Business Risk Management Group , Cambridge Associates LLC

ESG limitations and how managers can build out new strategies

11:40 - 12:15

ESG Summit

Participants

Serge Todorovich - Senior Vice President, General Counsel and Chief Compliance Officer , Shenkman Capital Management, Inc

Exploring the world of backing and investing with independent sponsors and GPs

11:50 - 12:25

Institutional Investor Summit - invite only

Participants

Hezy Shalev - Founder , INCEPTIV

Closed door lunch for investors and summit attendees

12:25 - 13:55

Welcome remarks

13:55 - 14:00

Main stage

Industry red flags – a quickfire session

14:00 - 14:20

Main stage

Participants

Chris Matke - Managing Director, Finance & Operations , UNC Management Company

David Warsoff - Executive Director , J.P. Morgan Alternative Asset Management

Krista Murphy - Chief Administrative Officer , Point72

In the hot seat - my journey

14:20 - 14:50

Main stage

Participants

Hermine Wong - Director, Coinbase Institute and Director of Policy , Coinbase

The beast is loose: The rise of endemic inflation and what it means for our world

14:50 - 15:20

Main stage

Participants

John Hulsman - Geopolitical Expert & Life Member , U.S. Council On Foreign Relations

Coffee break

15:20 - 15:50

Main stage

Regulation panel – the future for alternatives

15:50 - 16:20

Main stage

Participants

Daniel Austin - Director, U.S. Policy and Regulation , AIMA

Gary Leung - Regional Trial Counsel , SEC

Patrice Bonfiglio - COO, CFO, CCO , Sarissa Capital Management LP

Revolutionizing alternative investment management today for tomorrow – focus on governance

16:20 - 16:55

Main stage

- Increased use of OCIOs and what this means for the industry
- Governance issues and strategies to differentiate the AMs of the future
- What investors want and care about – how do they evaluate at the fund level?
- Culture, governance and controls versus performance

Participants

Sean Madnani - Founder & CEO , Twist Capital

Benjamin Murray - Chief Operating Officer , Cypress Creek Partners

Oliver Walters - Chief Operating Officer , Commonwealth Asset Management (CWAM)

Evans Apeadu - Chief Operating Officer and Chief Compliance Officer , Blue Water Life Science Advisors, LP

SESSIONS

DAY 1 - 17/10/2022

GAIM Ops West

October 17 - 19, 2022
Monarch Beach Resort
Dana Point, CA

Cocktail roundtable discussions

16:55 - 17:30
Main stage

- 1) What kind of ESG products are investors seeking?
- 2) The latest on data privacy laws
- 3) Focus on crypto – from valuations to operating infrastructure
- 4) Alternative investment red flags – focus on operating partners and operating advisors – Nick Lotysz and John Canorro
- 5) How pension funds adopt technological innovation
- 6) From boom to bust – the evolution of spacs
- 7) How to leverage your existing team to manage SEC requirements
- 8) The changing venture landscape: operational impacts
- 9) Operating PE real estate funds given the geopolitical landscape

Participants

Nick Lotysz - Director of Operational Due Diligence ,
First Trust Capital Solutions

John Canorro - Partner and Director of ODD ,
Pathstone

GAIM Ops West party

17:30 - 18:30
Main stage

SCHEDULE

DAY 1 - 17/10/2022

GAIM Ops West

October 17 - 19, 2022
Monarch Beach Resort
Dana Point, CA

TIME	PRE-EVENT SUMMITS MORNING	INSTITUTIONAL INVESTOR SUMMIT - INVITE ONLY	CRYPTO AND DIGITAL ASSETS SUMMIT	ESG SUMMIT	MAIN STAGE
07:00	07:30 - Breakfast briefing				
08:00	08:00 - Registration and breakfast				
09:00		09:00 - Opening remarks 09:10 - Making sense of the new era: From the age of globalization to the age of insecurity	09:00 - Opening remarks 09:10 - Lowdown on the value of crypto and digital assets 09:50 - Manager scenario in action	09:00 - Opening remarks 09:10 - The intersection of ESG and blockchain 09:50 - What is the ESG criteria allocators are looking at?	
10:00		10:00 - The need for better data and transparency – an update 10:40 - Networking Break – Refreshments and Coffee	10:30 - Networking Break – Refreshments and Coffee	10:30 - Networking Break – Refreshments and Coffee	
11:00		11:10 - SEC developments – where do investors stand? 11:50 - Exploring the world of backing and investing with independent sponsors and GPs	11:00 - Meeting investors' expectations on crypto and digital assets 11:40 - Focus on principles for ODD practitioners when managing digital assets and crypto	11:00 - Managing ESG risks - new approaches 11:40 - ESG limitations and how managers can build out new strategies	
12:00	12:25 - Closed door lunch for investors and summit attendees	12:25 - Closed door lunch for investors and summit attendees	12:25 - Closed door lunch for investors and summit attendees	12:25 - Closed door lunch for investors and summit attendees	12:25 - Closed door lunch for investors and summit attendees
13:00					13:55 - Welcome remarks

SCHEDULE

DAY 1 - 17/10/2022

GAIM Ops West

October 17 - 19, 2022
Monarch Beach Resort
Dana Point, CA

TIME	PRE-EVENT SUMMITS MORNING	INSTITUTIONAL INVESTOR SUMMIT - INVITE ONLY	CRYPTO AND DIGITAL ASSETS SUMMIT	ESG SUMMIT	MAIN STAGE
14:00					<p>14:00 - Industry red flags – a quick-fire session</p> <p>14:20 - In the hot seat - my journey</p> <p>14:50 - The beast is loose: The rise of endemic inflation and what it means for our world</p>
15:00					<p>15:20 - Coffee break</p> <p>15:50 - Regulation panel – the future for alternatives</p>
16:00					<p>16:20 - Revolutionizing alternative investment management today for tomorrow – focus on governance</p> <p>16:55 - Cocktail roundtable discussions</p>
17:00					<p>17:30 - GAIM Ops West party</p>

SESSIONS

DAY 2 - 18/10/2022

GAIM Ops West

October 17 - 19, 2022
Monarch Beach Resort
Dana Point, CA

Breakfast

08:00 - 09:00

Chair's remarks

09:00 - 09:10
Main stage

Panel - The agenda for enforcement – trends and red flags

09:10 - 09:50
Main stage

Participants

Moderator: Suzan Rose - Senior Adviser, Government and Regulatory Affairs , AIMA

Carlos Orozco - Senior Program Manager, CyberCrime , IRS - Criminal Investigation Division

Nicholas Swanson - Supervisory Special Agent (SSA) , FBI

Michael O'Neill - Assistant Chief, Fraud Section, Criminal Division , United States Department of Justice

The fund administrator of the future

09:50 - 10:30
Main stage

- Treading a fine line between investment in tech and people
- Increase in scope and volume of private fund work
- Digital assets and fund administrators
- How fund administrators can further add value

Participants

Luke Sedler - Director of Operational Due Diligence , BlackRock

Anna Zhang - Controller , Axonic Capital LLC

Ryan Schulzetenberg - Managing Director & Controller , CarVal Investors

Networking Break - Coffee and Refreshments

10:30 - 11:00
Main stage

Stories from the leaders

11:00 - 11:30
Main stage

Join three leaders as they share their career experiences and lessons learned.

Participants

Anna Spector - Managing Director, Chief Compliance Officer , CVC Credit Partners

James Gange - MD, Chief Compliance Officer, Legal Counsel , Davidson Kempner Capital Management

Nicole Tortarolo - Chief Business Officer, Managing Director Hedge Fund Solutions , UBS

Hiring and getting the best from loyal employees

11:30 - 12:00
Main stage

- Will we see a shift in power from employee to employer
- The future of the employer market

Participants

Jennifer Pearson - Head of Human Resources and Employment Counsel , Deerfield Management

Yulia Perruzzi - COO/CFO/CCO , Caden Capital Partners

David Bradley - Chief Operating Officer , Hawk Ridge Capital Management

Keynote - Tipper X revisited – lessons learned over six years

12:00 - 12:30
Main stage

Participants

Tom Hardin - Owner , Tipper X Advisors LLC

Lunch

12:30 - 12:35
Main stage

Speaker hosted lunch tables

12:35 - 13:55
Main stage

1) Accounting and tax guidelines for crypto funds

2) Migrating to the cloud - the journey - Patrice Bonfiglio

3) Valuations of very large tech funds

4) Is the number of funds leading to a governance issue?

5) The value of legal terms during fund diligence - Kelsey Chase

6) DEI – what managers need to do

7) Q&A with Tom Hardin

8) Let's talk about taxes - David Bradley and Zane Alajou

9) Re-envisioning the LP-GP relationship

Participants

Tom Hardin - Owner , Tipper X Advisors LLC

David Bradley - Chief Operating Officer , Hawk Ridge Capital Management

Zane Alajou - Controller , Hawk Ridge Capital Management

Patrice Bonfiglio - COO, CFO, CCO , Sarissa Capital Management LP

Kelsey Chase - Co-founder and Chief Business Development Officer , Aumni, Inc

Welcome remarks

13:55 - 14:00
Data and Technology Stream

Welcome remarks

13:55 - 14:00
Compliance Trends Stream

Welcome remarks

13:55 - 14:00
Private Equity Stream

SESSIONS

DAY 2 - 18/10/2022

GAIM Ops West

October 17 - 19, 2022

Monarch Beach Resort

Dana Point, CA

How asset managers are using data effectively

14:00 - 14:30

Data and Technology Stream

Participants

Michael Barry - General Counsel and Chief Compliance Officer , Samlyn Capital

Neil Datta - Managing Director , Forbes Family Trust

Justine Leung - Deputy CCO & General Counsel , Hawk Ridge Capital Management

SEC requirements – views from managers

14:00 - 14:30

Compliance Trends Stream

- Identifying what rules apply
- Avoiding foot-faults
- Leveraging resources

Participants

Kai Lee - Deputy General Counsel, Chief Compliance Officer & Managing Director , Oak Hill Advisors, L.P

Mark Robertson - GC/CCO , Eagle Capital Management, LLC

Ensuring excellence in governance and ESG integration

14:00 - 14:30

Private Equity Stream

- Managing investor pressure to have additional governance to the GP
- Managing the liability
- Future of the investor-GP relationship and efficiencies

Participants

Angela Jhanji - Managing Director, Sustainability - Private Capital, EQT Partners , EQT Partners

Leveraging data science to automate extraction of critical financial information

14:30 - 15:00

Data and Technology Stream

Reserved for sponsor

Strategies with closed end funds: Spotlight on infrastructure

14:30 - 15:00

Compliance Trends Stream

- Asset class outlook
- Governance issues and the SEC

Participants

Jason Scofield - Chief Compliance Officer , Audax Group

Transactions and due diligence for PE funds

14:30 - 15:00

Private Equity Stream

Participants

George Fisher - Vice President, Wealth Solutions , Macquarie Asset Management

Matt Barbato - Managing Director, Head of ODD , Hamilton Lane

Kelli Finnegan - Vice President, Operational Due Diligence and Portfolio Analytics Boston , HarbourVest

Networking Break - Coffee and Refreshments

15:00 - 15:30

Data and Technology Stream

Networking Break - Coffee and Refreshments

15:00 - 15:30

Compliance Trends Stream

Networking Break - Coffee and Refreshments

15:00 - 15:30

Private Equity Stream

Outsourcing versus insourcing for managers – the debate

15:30 - 16:00

Data and Technology Stream

- Troubleshooting existing data infrastructure
 - Vetting out meaningful data
-

Strategies with closed end funds: Spotlight on private credit

15:30 - 16:00

Compliance Trends Stream

- Asset class outlook
- Governance issues and the SEC

Participants

Mark Russo - General Counsel , ZAIS Group

Rory Cohen - General Counsel , Varadero Capital, L.P.

Working with early-stage companies – adding more value as a manager

15:30 - 16:00

Private Equity Stream

Investors' perceptions and how to serve the fund(s)

Participants

David Poulten - Partner , INCEPTIV

Exploring progress in ESG litigation

16:00 - 16:30

Data and Technology Stream

- Spotlights on recent cases in Brazil, Italy, and around the world
- Monitoring and managing complex investor needs
- Aligning investments, proxies and governance
- Refining ESG factors successfully

Participants

Michael Lange - Senior Vice President, Worldwide Litigation , Financial Recovery Technologies

Acquiring and partnering with advisors – ingredients for a successful alliance

16:00 - 16:30

Compliance Trends Stream

- Legal and compliance considerations – what you need to know now

Participants

Moderator: Jeffrey Stomski - Partner , Eisner Advisory Group LLC

PE fundraising in light of investors' exit from public stocks and impacts on operations

16:00 - 16:30

Private Equity Stream

Participants

Lily Hou - Director of Operations , Architect Capital

SESSIONS

DAY 2 - 18/10/2022

GAIM Ops West

October 17 - 19, 2022
Monarch Beach Resort
Dana Point, CA

Developing secure processes – from due diligence to culture

16:30 - 17:00

Data and Technology Stream

- Carrying out due diligence of alternative data providers
- Empowering employees – building relationships with colleagues

Participants

Sagan Weiss - Chief Compliance Officer , J. Goldman & Co

Compliance hot trends – spotlight on cybersecurity measures for private funds and ESG

16:30 - 17:00

Compliance Trends Stream

Venture capital – operational considerations for managers and investors

16:30 - 17:00

Private Equity Stream

Participants

Moderator: Adam Cohen - Managing Director, Head of ODD , Agility

Ted Hill - Partner and Chief Operating Officer , B Capital Group

Discussions include:

17:00 - 18:00

Cocktail roundtable discussions

- 1) Managing risk – cybersecurity for crypto funds
- 2) Assessing ESG in ODD processes
- 3) Talent management – is the industry hiring too many staff?
- 4) New trends in prime brokerage
- 5) Streamlining front-to-back office investment operations through tech integration – Chronograph
- 6) Conflicts of interest – comparing challenges
- 7) CFTC view on market supervision
- 8) Impacts of increased barriers to entry for private funds and what it means for retail and private investors
- 9) Focus on secondaries: How to buy/sell companies that are private

Drinks

18:00 - 19:00

SCHEDULE

DAY 2 - 18/10/2022

GAIM Ops West

October 17 - 19, 2022
Monarch Beach Resort
Dana Point, CA

TIME	MAIN STAGE	DATA AND TECHNOLOGY STREAM	COMPLIANCE TRENDS STREAM	PRIVATE EQUITY STREAM	COCKTAIL ROUNDTABLE DISCUSSIONS
08:00	08:00 - Breakfast	08:00 - Breakfast	08:00 - Breakfast	08:00 - Breakfast	08:00 - Breakfast
09:00	09:00 - Chair's remarks 09:10 - Panel - The agenda for enforcement – trends and red flags 09:50 - The fund administrator of the future				
10:00	10:30 - Networking Break - Coffee and Refreshments				
11:00	11:00 - Stories from the leaders 11:30 - Hiring and getting the best from loyal employees				
12:00	12:00 - Keynote - Tipper X revisited – lessons learned over six years 12:30 - Lunch 12:35 - Speaker hosted lunch tables				
13:00		13:55 - Welcome remarks	13:55 - Welcome remarks	13:55 - Welcome remarks	
14:00		14:00 - How asset managers are using data effectively 14:30 - Leveraging data science to automate extraction of critical financial information	14:00 - SEC requirements – views from managers 14:30 - Strategies with closed end funds: Spotlight on infrastructure	14:00 - Ensuring excellence in governance and ESG integration 14:30 - Transactions and due diligence for PE funds	

SCHEDULE

DAY 2 - 18/10/2022

GAIM Ops West

October 17 - 19, 2022
Monarch Beach Resort
Dana Point, CA

TIME	MAIN STAGE	DATA AND TECHNOLOGY STREAM	COMPLIANCE TRENDS STREAM	PRIVATE EQUITY STREAM	COCKTAIL ROUNDTABLE DISCUSSIONS
15:00		<p>15:00 - Networking Break - Coffee and Refreshments</p> <p>15:30 - Outsourcing versus insourcing for managers – the debate</p>	<p>15:00 - Networking Break - Coffee and Refreshments</p> <p>15:30 - Strategies with closed end funds: Spotlight on private credit</p>	<p>15:00 - Networking Break - Coffee and Refreshments</p> <p>15:30 - Working with early-stage companies – adding more value as a manager</p>	
16:00		<p>16:00 - Exploring progress in ESG litigation</p> <p>16:30 - Developing secure processes – from due diligence to culture</p>	<p>16:00 - Acquiring and partnering with advisors – ingredients for a successful alliance</p> <p>16:30 - Compliance hot trends – spotlight on cybersecurity measures for private funds and ESG</p>	<p>16:00 - PE fundraising in light of investors' exit from public stocks and impacts on operations</p> <p>16:30 - Venture capital – operational considerations for managers and investors</p>	
17:00					17:00 - Discussions include:
18:00	18:00 - Drinks	18:00 - Drinks	18:00 - Drinks	18:00 - Drinks	18:00 - Drinks

SESSIONS

DAY 3 - 19/10/2022

GAIM Ops West

October 17 - 19, 2022
Monarch Beach Resort
Dana Point, CA

Chair's opening remarks

09:10 - 09:15
Main stage

View from the top - inside the minds of CEOs

09:15 - 09:50
Main stage

- The barbell in the industry
- How big can middle managers grow?
- Consolidation, diversification and globalization

Participants

Christopher Mizer - President and CEO , Vivaris Capital

Jiorjo Fetterman CPA - Founder and Managing Director , Oro Advisors, LLC

Keynote - Own your ESG strategy and progress

09:50 - 10:30
Main stage

Participants

Sharon Vosmek - CEO and Managing Partner , Astia Fund

Embracing the winds of change: foundations of DEI and inclusive leadership

10:30 - 11:15
Main stage

Participants

Ritu Bhasin - CEO & Founder , bhasin consulting inc

What factors are going to drive DEI in 2023?

11:15 - 11:45
Main stage

- Nothing can be changed until it is faced
- How to foster an inclusive culture – focus on practical strategies
- The differences between D, E and I and why they matter

Participants

Moderator: David Claypoole - Founder & President , Claypoole Executive Search

Jocelyn Cortez-Young - Founding Partner and CEO , Minerva Capital Group

End of conference. See you next year!

11:45 - 11:50
Main stage

SCHEDULE

DAY 3 - 19/10/2022

GAIM Ops West

October 17 - 19, 2022
Monarch Beach Resort
Dana Point, CA

TIME	MAIN STAGE
09:00	09:10 - Chair's opening remarks 09:15 - View from the top - inside the minds of CEOs 09:50 - Keynote - Own your ESG strategy and progress
10:00	10:30 - Embracing the winds of change: foundations of DEI and inclusive leadership
11:00	11:15 - What factors are going to drive DEI in 2023? 11:45 - End of conference. See you next year!