

Registration and Networking

8:00am - 9:00am

Chair's welcome

9:00am - 9:15am

Participants

Virginie O'Shea - Founder, Firebrand Research

ESMA regulatory expectations and considerations

9:15am - 9:45am

- Expanding on the recent EMIR Refit consultation
- Outlining the current and ongoing work surrounding SFTR reporting
- Future regulatory focus
- Industry expectations on data quality and reporting

Participants

Nikolay Arnaudov - Trade Repositories Team Leader, Data and Reporting Unit, European Securities and Markets Authority (ESMA)

Outlining SFTR implementation experiences

9:45am - 10:30am

- Identifying efficiencies within ongoing SFTR implementation projects
- Outlining some of the shared challenges and common solutions
- Steps undertaken to ensure the reconciliation of fields
- Establishing the reconciliation status of counterparts
- How organisations have been reporting valuations

Participants

Jonathan Lee - Senior Regulatory Reporting Specialist, Kaizen Reporting

Jesper Lerche - Senior Business Analyst, Arbejdernes Landsbank

Anna Cox - Analyst, Operational Change, Winterflood Securities

Andy Leonard - IB Advisory, Global Operational Compliance, Regulatory Reporting, Barclays

Que-Phuong Dufournet-Tran - SME, Independent Expert

Break

10:30am - 11:00am

EMIR refit: Clarifying the incoming regulatory changes

11:00am - 11:45am

- Breaking down the overhaul of the reporting requirements
- How the EMIR refit rules fit in with other jurisdictions
- Impact upon smaller organisations
- Considerations for UPIs & UTIs
- Potential for UK/EU divergence

Participants

Tim Hartley - Director, EMIR Reporting, Kaizen Reporting

Ian Rennie - Managing Director, Kaizen Reporting

Nick Bruce - Head of Business Development, Regis-TR

Andreas Roussos - Partner, Point Nine

Juho Westerlund - Market Supervisor, Finanssivalvonta - Finnish Financial Supervisory Authority

The latest status of OTC ISINs & UPI implementation

11:45am - 12:15pm

- Role and intended use of UPI for reporting
- Update on regulatory approval timescale
- Alignment and use cases for OTC ISIN and UPI reporting
- Opportunities to ease integration and leverage existing processes
- How DSB are assisting the market/own implementation plans

Participants

Emma Kalliomiaki - Managing Director, Association of National Numbering Agencies (ANNA)

Lunch

12:15pm - 1:15pm

Connecting pre-trade and post-trade reporting

1:15pm - 1:45pm

- Understanding the implications for early collection of the LEI
- Exploring the validation agent programme
 - Options for FIs to provide LEIs during client onboarding process

Participants

Burcu Mentessoglu Tuncer - Business Relations Manager, Global Legal Entity Identifier Foundation (GLEIF)

Digital reporting: How organisations are currently ensuring data quality

1:45pm - 2:30pm

- Exploring best practice controls and processes to align data quality
- Current measures around data sourcing to enhance quality
- Delivering transparency and traceability

Participants

Len Delicaet - Head of Report Strategy, MarketAxess

Paul Janssens - Programme Director, SWIFT

Rachel Przybylski - Head of Regulatory Quality Assurance, Trading Platform Technology, Man Group

Janice Falcao - Global Head of Regulatory Reporting, Citadel

Miles Barker - Lead Business Analyst, Credit Suisse

SEC regulatory update

2:30pm - 2:45pm

- Where we are: Understanding the current regulations and enforcement expectations
- Looking ahead: Exploring the topics that will be considered in the near future
- Update on security-based swap trade reporting in the US
- Observations on CTFC 2.0 regime and impact upon timescale

Participants

Michael Gaw - Assistant Director, Division of Trading & Markets, SEC

Break

2:45pm - 3:15pm

Digital reporting forward look: Building a data strategy to ease future requirements

3:15pm - 4:00pm

- Current industry progress towards future-proofing for digital reporting
- Exploring the impact next generation digital reporting will have on trading
 - How firms should be preparing to capitalise on the commercial opportunities
- Understanding the current expectations around building a suitable platform
- Incorporating the latest regulatory considerations into future planning
- Steps undertaken to ensure self-identification of errors
- Standardising outputs to allow the regulator to work autonomously

Participants

David Krantz - Independent, Independent

Chris Gardner - Director, EY

Que-Phuong Dufournet-Tran - SME, Independent Expert

David Shone - Director, Market Infrastructure & Technology, ISLA

CSDR retrospective: Tackling the common challenges

4:00pm - 4:30pm

- Exploring the common challenges post Jan 31st implementation
- Timescales for reviewing associated KPIs and KOPs (key operating procedures)
- Assessing CDSR processes after the interest claims have flowed through
- Identifying the operational efficiencies that have eased CSDR implementation
- Understanding the resource that is currently being deployed to meet CSDR
- The potential for mandatory buy-in regime
- Changes in internal and external communication that have been experienced
- Applying appropriate focus, risk oversight and management information

Participants

Adrian Dale - Head of Regulation & Market Practice, International Securities Lending Association

Networking

4:30pm - 5:30pm

SCHEDULE

TRADE & TRANSACTION REPORTING - 22/03/2022

Trade & Transaction Reporting London

22 - 23 March 2022
Leonardo Royal London Tower Bridge
UK

TIME	
8:00AM	8:00am - Registration and Networking
9:00AM	9:00am - Chair's welcome 9:15am - ESMA regulatory expectations and considerations 9:45am - Outlining SFTR implementation experiences
10:00AM	10:30am - Break
11:00AM	11:00am - EMIR refit: Clarifying the incoming regulatory changes 11:45am - The latest status of OTC ISINs & UPI implementation
12:00PM	12:15pm - Lunch
1:00PM	1:15pm - Connecting pre-trade and post-trade reporting 1:45pm - Digital reporting: How organisations are currently ensuring data quality
2:00PM	2:30pm - SEC regulatory update 2:45pm - Break
3:00PM	3:15pm - Digital reporting forward look: Building a data strategy to ease future requirements
4:00PM	4:00pm - CSDR retrospective: Tackling the common challenges 4:30pm - Networking

Registration and Networking

8:30am - 9:25am

Chair's welcome

9:25am - 9:30am

Participants

Virginie O'Shea - Founder, Firebrand Research

EMIR refit: How teams are preparing for implementation

9:30am - 10:15am

- Understanding the preparation that has already taken place for EMIR refit
- Identifying efficiencies in implementing the EMIR refit requirements
- Creating a reporting system with an ever-increasing volume of fields and data
- Opportunities for digitisation to relieve the strain
- Aligning Common Data Elements
- How organisations plan to gather the data

Participants

Tim Hartley - Director, EMIR Reporting, Kaizen Reporting

Christina Coone - Head of Regulatory Solutions, Barings

Melanie Bristow - Director - SFTR & Uncleared Bilateral Margin Programme, UBS

Suzanne Calcagno - Global Head of Regulatory Response & Oversight, MSS Operations, HSBC

Roger Coenen - Consultant/Owner, RC Consultancy Advisory & Training

AFM focus and upcoming priorities

10:15am - 10:45am

- Expectations and input into the MIFID review process
- Overcoming data quality issues to implement SFTR
- Progress on the revision of RTS 22, 23 and 24
 - Instrument data
 - Audit book data
- The potential for a consolidated tape provider

Participants

Wouter van Bronswijk - Senior Supervision Officer, Dutch AFM

Break

10:45am - 11:15am

Mapping the evolving regulation within capital markets

11:15am - 11:45am

- How SFTR is developing from a repo perspective
- Charting the progress around SFTR level 2
- Supporting the digitisation agenda
 - Embedding the Common Domain Model project in repo and cash bonds

Participants

Alexander Westphal - Director - Market Practice and Regulatory Policy, International Capital Market Association (ICMA)

Future ESG reporting requirements under SFDR

11:45am - 12:15pm

- Understanding the potential reporting requirements under SFDR
- How organisations are positioning themselves now
- The impact of the delay to level 2 requirements
- Gaining the information that's needed from the financial markets
 - Ensuring data quality
- Ensuring people and data processes are in place

Participants

Roger Coenen - Consultant/Owner, RC Consultancy Advisory & Training

Lunch

12:15pm - 1:30pm

Digital reporting: Strategies to ensure traceability and transparency

1:30pm - 2:00pm

- How organisations are working to improve completion and timeliness
- Achieving complete assurance with enhanced accuracy
- Utilising analytics to strengthen control and manage risk

Participants

George Pechlivanidis - Director, Deloitte

Neil Cowie - Director, Deloitte

CSDR retrospective: Industry implementation

2:00pm - 2:45pm

- How organisations have been handling implementation
- Exploring the successes and areas for future refinement
- How the mandatory buy-in regime could impact organisations and markets

Participants

James Cunningham - European Regulatory & Market Initiatives, BNY Mellon

Alex Dockx - Executive Director, JP Morgan

Silvano Stagni - Consultant & Co-Chair of FIX SFTR Working Party, Independent

Philip Read - Regulatory Change Europe, Divisional Controls, National Australia Bank

Break

2:45pm - 3:15pm

Implementing CFTC rewrite

3:15pm - 3:45pm

- Efficiencies to be found as CTFC aligns with EMIR style reporting
- Implications for collateral and packaging of trades
- Application of CFTC for non-US persons
- Systems and process change required to implement this
- Integrating the UPI update with CTFC
- Impact on SBSB (Security Based Swap Dealer)

Participants

Jonathan Thursby - Founder & CEO, KOR Financial

SCHEDULE

TRADE & TRANSACTION REPORTING - 23/03/2022

Trade & Transaction Reporting London

22 - 23 March 2022
Leonardo Royal London Tower Bridge
UK

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10:00AM	10:15am - AFM focus and upcoming priorities 10:45am - Break
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2:00PM	2:00pm - CSDR retrospective: Industry implementation 2:45pm - Break
3:00PM	3:15pm - Implementing CFTC rewrite