WM Industry Awards

WealthManagement.com 2022 Industry Awards Winners and Honorees

AWARD CATEGORY	COMPANY	INITIATIVE OR INDIVIDUAL
ASSET MANAGERS		
Alternatives	Nationwide	Risk-Managed Income ETF Suite
Charitable Giving/Donor Advised Funds	Schwab Charitable	The Schwab Charitable Giving Guide
Chief Executive Officer of the Year	Diamond Hill	Heather Brilliant
Chief Marketing Officer of the Year	Russell Investments	Mollie Jensen
Corporate Social Responsibility	FS Investments	Philadelphia Financial Scholars
Digital Marketing Campaign of the Year	Natixis Investment Managers	Sustainable Future Digital Marketing Campaign
Diversity, Equity and Inclusion	Nationwide Financial	Nationwide Retirement Institute Diverse Markets Initiative
ETFs	Humankind	Humankind US Stock ETF
Fixed Income	Nuveen	Global Fixed Income Impact Report
New Product Development	New York Life Investments / IndexIQ	Dual Impact ETF Suite Expansion
Real Estate (including REITs)	Cohen & Steers	REIT Academy
Separate Accounts	Russell Investments	Russell Personalized Managed Accounts (PMA)
Socially Responsible Investing (SRI) / Impact Investing	FlexShares	ESG and Climate Suite
Thought Leadership Advisor Education	Guggenheim Investments	Behavioral Finance Initiative
Thought Leadership Product Enhancement	VanEck	Digital Asset Fund Expansion
BROKER-DEALERS (All)		
Chief Marketing Officer of the Year	Cambridge Investment Research, Inc.	Jeffrey Vivacqua
Chief Technology Officer of the Year	Atria Wealth Solutions	John Reinhardt
Corporate Social Responsibility	Sigma Financial Corporation	Scaling Ukraine Relief Charitable Donations Campaign
Digital Marketing Campaign of the Year	Voya Financial	Voya's Hire thru Retire: A Health and Wealth Podcast
BROKER-DEALERS (1,000 Advisors or More)		
Chief Executive Officer of the Year	Cambridge Investment Research, Inc.	Amy Webber
Diversity, Equity and Inclusion	LPL Financial	Black Advisor Voices Video Series
Practice Management	Raymond James	Practice Exchange
Service	Raymond James	Remote Admin Extension
Technology	LPL Financial	Clientworks Meeting Manager
Thought Leadership	Cetera Financial Group	Fed Monitor Dashboard and Fed-o-meter
Transition Support	Commonwealth Financial Network	Virtual Transition Support (VTS)
BROKER-DEALERS (Fewer Than 1,000 Advisors)		
Chief Executive Officer of the Year	Prospera Financial Services	Tim Edwards and David Stringer
Diversity, Equity and Inclusion	Janney Montgomery Scott	IMPACT Conference
Practice Management	Prospera Financial Services	Protect Engagement Score
Technology	Janney Montgomery Scott	Prospect Site
Thought Leadership	Bernstein Private Wealth Management	Tax Management Campaign
COMPLIANCE/LAW FIRMS		
	MarketCounsel Consulting	Mark by MarketCounsel
CUSTODIANS		
Alternative Asset	OpenAlt	Private Market Custodian
Diversity, Equity and Inclusion	BNY Mellon's Pershing	Minority Business Enterprise Client Council
Practice Management	Schwab Advisor Services	RIA Firm Design Blueprint Consultation for Advisors in Transition
Technology	Fidelity Investments	Enhanced Technology Offerings
Thought Leadership	Fiduciary Trust Company	Advisor Community Conversations
DISRUPTORS		
Industry	GMO	Nebo
Technology	Summit Wealth Systems	Summit's WealthOS
FAMILY OFFICES		
Client Initiative	Geller Advisors LLC	myGellerCFO
Thought Leadership	Key Family Wealth	Succession Planning Strategy
INDIVIDUAL RIA FIRM LEADERS		
Chief Executive Officer of the Year	Mercer Advisors	Dave Welling
Chief Marketing Officer of the Year	Carson Group	Mary Kate Gulick
Chief Technology Officer of the Year	Arnerich Massena	David Vaughan
Innovator of the Year	Adhesion Wealth	Barrett Ayers, President
M&A Leader of the Year	Beacon Pointe Advisors	Matthew Cooper, President
Rising Star of the Year Honorees	Beacon Pointe Advisors	Kevin DiSano, Chief Growth Officer
	Carson Group	Ana Trujillo Limón, Director of Coaching & Advisor Content
	Carson Group	Nick Engelbart, Chief Financial Officer
	Falcon Wealth Planning, Inc.	Gabriel Shahin, Principal and Founder
	HCR Wealth Advisors	Michelle Katzen, Managing Director
	Intrepid Wealth Partners	Derek Notman, Founder and CEO
	Mercer Advisors	Kara Duckworth, Managing Director of Client Experience
	Optas Capital	Ryan Klippel, Head of Social Impact / Financial Planner
	Robertson Stephens	Mallon FitzPatrick, Managing Director, Principal
	Waddell & Associates	Teresa J.W. Bailey, Director of Development and Senior Wealth Strategist
	Wedmont Private Capital	James Pelletier, Co-founder
	Wipfli Financial Advisors	Rafia Hasan, Chief Investment Officer
Thought Leader of the Year	Carson Group	Ron Carson, CEO and Founder
INDUSTRY ASSOCIATIONS		
	National Association of Personal Financial Advisors (NAPFA)	DEI Training and Certificate Program
INDUSTRY RESEARCH PROVIDERS		
	The American College of Financial Services	Black Women, Trust, and the Financial Services Industry
INSURANCE		
Service	Fiduciary Insurance Services, Llc.	DC Retirement Income Consortium
Technology	Envestnet, Inc.	Insurance Exchange
INVESTMENT BANKS/LENDERS/SUCCESSION PLANNING CONSULTANTS		
	PPC LOAN	Nextgen Financing and Education
MARKETING | PR FIRMS		
Digital Campaign of the Year	FiComm Partners	Podcast Awards
NON-CUSTODIAL RIA SUPPORT PLATFORMS		
	RFG Advisory	RFG Assist
RETIREMENT PLAN SUPPORT AND ADVISOR SERVICES		
401(k) Service	Morningstar Investment Management LLC	Advisor Managed Accounts
401(k)Technology	Vestwell	Vestwell Managed Accounts
Aggregators: CEO of the Year	HUB Retirement and Private Wealth	Joseph DeNoyior
DCIO Providers: Advisor Value Added Programs	Franklin Templeton	Voice of the American Worker Program
Record Keepers: Retirement Plan Advisor Support	John Hancock Retirement	Retirement Plan Advisor Support
SUCCESSION/OWNERSHIP TRANSITION SERVICES – NON-CUSTODIAN/BROKER-DEALER		
	DeVoe & Company	GrowthBuilder
TAMPs		
Model Marketplaces	SMArtX Advisory Solutions	Diversified Model Marketplace with OCIO Overlay
TAMPs	Eaglebrook Advisors	Crypto SMA Platform
TECHNOLOGY PROVIDERS		
Alternative Investment Platforms	iCapital	Global Distributed Ledger Solution
Artificial Intelligence	TIFIN	Magnifi by TIFIN
Business Support Systems | Workflow Automation	Bento Engine	Systematic Client Engagement
Chief Executive Officer of the Year	Orion	Eric Clarke
Chief Marketing Officer of the Year	SMArtX Advisory Solutions	Alex Smith-Ryland
Chief Technology Officer of the Year	CAIS	Shane Williams
Client Onboarding / New Account Opening	Andes Wealth Technologies	Client Onboarding Platform
Client Portals	Orion	Orion Launches New Client Portal Experience
Compliance	SS&C Black Diamond Wealth Platform	Regulation Surveillance Software
CRM	Skience	Skience Services
Cryptocurrency	Flourish	Flourish Crypto
Cyber Security	Entreda	Cyber Secutiry Compliance
Digital Marketing Campaign of the Year	Snappy Kraken	Blend Out Campaign
Diversity, Equity and Inclusion	Choir	Spotlighting New Voices
Document Management	Laserfiche	Solutions Marketplace
Financial Planning	eMoney Advisor	Financial Wellness Mobile App
Innovation New Applications	FP Alpha	Financial Wellness Score
Innovation Platforms	FA Match	Recruiting as a Service
Marketing Automation	FMG Suite	Mobile App for Advisor Marketing
Model Marketplaces	Adhesion Wealth	Manager Exchange
Portfolio Analytics	Andes Wealth Technologies	Deep Analytic Visualizations
Portfolio Data Screening	Broadridge	ESG for Fiduciaries
Portfolio Management, Accounting and Performance Reporting	SS&C Black Diamond Wealth Platform	Data-Mining Capabilities
Real Estate	Cadre	Direct Access Fund
Rebalancing	RedBlack, an intelliflo solution	Cloud-based Multi-custodian Rebalancing and Trading Solution
Risk Tolerance / Client Profiling	Riskalyze	Riskalyze's Next-Generation Risk Questionnaire
Social Media Leadership	FMG Suite	Wealth Transfer Marketing Strategies
Specialized Planning Applications	Asset-Map	Signals
Thought Leadership	Orion	Standard Deviations Podcast
Unified (All-In-One) Systems	Advisor360°	Digital Onboarding
TRUSTS		
	Fiduciary Trust Company	ESG and Sustainability in Trusts

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